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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei YAMAZAKI et al.      Art Unit : 2812  
Serial No. : 09/769,765      Examiner : Unknown  
Filed : January 26, 2001  
Title : SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THEREOF

**Attention: Official Draftsman**

Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL OF AMENDED DRAWINGS

Enclosed are sixteen (16) sheets of amended drawings for the application in compliance with 37 CFR 1.84.

Drawings provided with appropriate margins

Applicant submits that no new matter is introduced and requests approval of the amended drawings.

Respectfully submitted,

Date:

July 2, 2001

William Hare

William D. Hare  
Reg. No. 44,739

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601 Thirteenth Street, NW  
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09/769,765-07001



IN THE UNITED STATES RECEIVING OFFICE

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BOX PCT

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RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Responsive to the Notification of Missing Requirements under 35 U.S.C. 371

mailed May 2, 2001, Applicant as a large entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$710;
- ☒ Payment of the additional/multiple dependent claims fees of \$1046;
- ☒ Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- ☒ A check in the total amount of \$1886 is attached; and
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63.

It is understood that this perfects the application and no additional papers or filing fees

are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Adjustment date: 02/13/2002 HMOHAMM1  
02/12/2002 LLANDGRA 00000036 09769765  
01 FC:198 -1886.00 DP

Respectfully submitted,

Date: July 2, 2001

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Adjustment date: 02/12/2002 LLANDGRA  
07/06/2001 ATRAM1 00000057 09769765  
01 FC:958 710.00 DP  
02 FC:966 -486.00 DP  
03 FC:964 560.00 DP  
04 FC:154 -130.00 DP

07/06/2001 ATRAM1 00000057 09769765

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04 FC:154 130.00 DP

02/12/2002 LLANDGRA 00000036 09769765

01 FC:198 1886.00 DP



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/769,765	01/26/2001	Shunpei Yamazaki	12732-008001

CONFIRMATION NO. 7392

## FORMALITIES LETTER



\*OC000000006029905\*

JOHN F. HAYDEN  
Fish & Richardson P.C.  
601 Thirteenth Street, NW  
Washington, DC 20005



Date Mailed: 05/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1046.
  - \$486 for 27 total claims over 20.
  - \$560 for 7 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1886.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

*Be*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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